



FORM PTO-1449	SERIAL NO. 09/814,436	CASE NO. 6270/60
<b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>	FILING DATE March 22, 2001	GROUP ART UNIT Unknown
(use several sheets if necessary)	APPLICANT(S): Blackett et al.	

# REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
POB	A1	5,764,155	06/09/98	Kertesz et al.		
	A2	5,862,391	01/19/99	Salas et al.		
	A3	5,897,607	04/27/99	Jenney et al		
	A4	6,035,285	03/07/00	Schlect et al		
	A5	6,088,659	07/11/00	Kelley et al		
	A6	6,167,389	12/26/00	Davis et al		
POB	A7	6,169,979	01/02/01	Johnson		

## FOREIGN PATENT DOCUMENTS

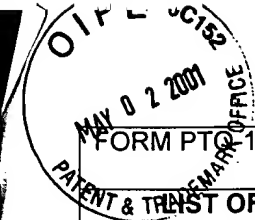
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO
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EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
POB	A8	Press Release 24 Aug. 2000 "Connect One Announces Industry's First Wireless Chip to Connect Mobile Devices to the Internet", pp. 1-2.
POB	A9	Press Release, "Connect One and NAMS Create the World's First Dial-up Energy Meter that Sends and Receives E-Mail Without a Gateway", September 5, 2000, pp. 1-2.
POB	A10	NAMS Metals by Nisko, NMM-AKB Specifications page 1 of 1, September 5, 2000.
X	A11	Connect One "Automatic Meter Reading via the Internet", pp. 1-2.
X	A12	iModem™ "The Fastest Way to Internet-Enable any Device", pp. 1-3.
X	A13	ConnectOne™ Connecting your Device to the Internet™ iChip™ The Internet in your palm™, pp. 1-6.
POB	A14	Engage Networks Launches Energy Management Venture, obtained at internet address <a href="http://www.engagenet.com/content/business_journal.shtml">http://www.engagenet.com/content/business_journal.shtml</a> , 09/20/00.
X	A15	Engage Networks Inc. "D-Gen" Distributed Generation Management brochure, pp. 1-4.
X	A16	Engage Networks Inc. "Internet Protocol Card for Revenue Meters" brochure, pp. 1-2.
X	A17	Engage Networks Inc. "AEM" (Active Energy Management) brochure, pp. 1-2.
POB	A18	David W. Giles, "Direct-Access Metering Via the Web", 1998 CEA Conference, Toronto, Canada, pp. 1-19, © 1998 Power Measurement Ltd.
POB	A19	GE Industrial Systems "EnerVista.com" brochure, 09/2000.
POB	A20	Press release, Santa Clara, CA 09/25/2000 "Connect One Reduces Time, Cost and Complexity to Connect Internet Appliances to Ethernet Lans", obtained at internet address <a href="http://www.connectone.com/html/prlsep25_2000.htm">http://www.connectone.com/html/prlsep25_2000.htm</a> .
X	A21	Year 2000 Rediness Disclosure Arcom Control Systems, "Apex", obtained at internet address <a href="http://www.arcomcontrols.com/products/pcp/pcp10.htm">http://www.arcomcontrols.com/products/pcp/pcp10.htm</a> .
X	A22	Drantz BMI, Signature System™ "Information, Knowledge, Power" brochure.

EXAMINER <i>[Signature]</i>	DATE CONSIDERED 11/16/03
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X = not considered



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(use several sheets if necessary)	APPLICANT(S): Blackett et al.	

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
POT	A23	Signature System™ Basics, obtained at internet address <a href="http://www.signaturesystem.com/sigbasics.html">http://www.signaturesystem.com/sigbasics.html</a> , 3/26/01. pp. 1-2.
POT	A24	Signature System™ InfoNodes, obtained at internet address <a href="http://www.signaturesystem.com/infonode.html">http://www.signaturesystem.com/infonode.html</a> , 3/26/01. pp. 1-2.
X	A25	Questa A2B.Platform™ brochure, "Enabling conversation through universal connectivity", pp. 1-4, located at <a href="http://www.questra.com/h1_products/Questa_A2Bplatform">http://www.questra.com/h1_products/Questa_A2Bplatform</a> .
X	A26	Questa A2B.Sales™ brochure, "Conversation to help you win", pp. 1-2, located at <a href="http://www.questra.com/h1_products/Questa_A2Bsales">http://www.questra.com/h1_products/Questa_A2Bsales</a> .

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APPLICANT(S): Blackett et al.		

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	B1					
	B2					

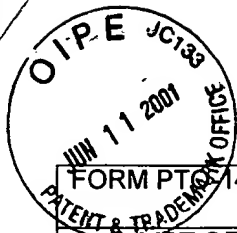
## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO
	B3					
	B4					

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
POB	B5	Power Point Presentation: Presented at "Metering Europe 2000," September 5-7, 2000, source <a href="http://www.energyict.com/fh/media/Presentation_Metering2000Munchen5.zip">http://www.energyict.com/fh/media/Presentation_Metering2000Munchen5.zip</a> , pp. 1-37.
POB	B6	Brochure, RTU+Server, source <a href="http://www.energyict.com/fh/media/tru_plus_server.pdf">http://www.energyict.com/fh/media/tru_plus_server.pdf</a> , pp. 1-3, 4/6/01.
POB	B7	Brochure, EiServer, The Energy Information server, source <a href="http://www.energyict.com/fh/media/EiServer.pdf">http://www.energyict.com/fh/media/EiServer.pdf</a> , pp. 1-7, 7/14/00.
POB	B8	Advertisement, EiServer and RTU+Server, source, Metering International - 2001 Issue 1, page 19, publish date 1 <sup>st</sup> Quarter 2001, p. 1.
POB	B9	Article, "Providing Tomorrow's Energy Management and Metering Tools Today," source, Metering International - 2001 Issue 1, page 18, publish date 1 <sup>st</sup> Quarter 2001, p. 1.

EXAMINER 	DATE CONSIDERED 1/16/07
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<b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b> (use several sheets if necessary)	FILING DATE March 22, 2001	GROUP ART UNIT 2857
APPLICANT(S): Blackett, et al.		

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
pm	C1	5,699,276	12/16/97	Roos		
pm	C2	6,118,269	09/12/00	Davis		
pm	C3	6,167,389	12/26/00	Davis et al.		

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO
	C4					
	C5					

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
X	C6	"Email relating to the general technology of the application received from a competitor", from Erich W. Gunther [mailto:erich@electrotek.com] to Brad Forth@pml.com sent 5/9/01 3:36pm, pp. 1-2.
pm	C7	Electro Industries Press Release "Nexus 1250 High-Performance Power Monitor Gains Ethernet TCP/IP Capabilities," obtained at internet address <a href="http://www.electroindustries.com/feb5.html">http://www.electroindustries.com/feb5.html</a> , Feb. 5, 2001 pp. 1-2.
pm	C8	Electro Industries "Nexus 1250 for Indstry and Utilities," brochure, obtained at internet address <a href="http://www.electroindustries.com/pdf/nxsbrochure.pdf">http://www.electroindustries.com/pdf/nxsbrochure.pdf</a> pp. 1-14 printed 06/01/01.
pm	C9	NetSilicon Solutions on Chip "NET+ARM™ Ethernet Processors" brochure, obtained at internet address <a href="http://www.netsilicon.com/EmbWeb/products/netarm.asp">http://www.netsilicon.com/EmbWeb/products/netarm.asp</a> , pp. 1-2, Copyright© 2001 NetSilicon, Inc..
pm	C10	EmWare® "Solutions Device Link," brochure, obtained at internet address <a href="http://www.emware.com/solutions/devicelink/">http://www.emware.com/solutions/devicelink/</a> , pp. 1-3, printed 06/01/01.
pm	C11	iReady® "Technology iReady's Hardwired TCP/IP Stack," homepage, obtained at internet address <a href="http://www.iready.com/">http://www.iready.com/</a> , p. 1, ©2000-2001 iReady corporation, printed 06/01/01.
pm	C12	muNet News & Events Press Releases "muNet makes cable industry debut with its WebGate™ Internet Residential and Commercial Information Systems, and HomeHeartBeat", <a href="http://www.munet.com">http://www.munet.com</a> , pp. 1-2, 12/15/99.
pm	C13	muNet News & Events Press Releases "muNet's WebGate™ Systems Finds a Home on the Internet!" <a href="http://www.munet.com">http://www.munet.com</a> , pp. 1-2, 03/18/99.
X	C14	webGate™ IRIS Technology, products brochure pp. 1-9.
pm	C15	MuNet News & Events Press Release "muNet Demonstrates End-to-Enc IP-Based Energy Management System at DistribuTECH," Feb. 5, 2000, pp 1-2.
X	C16	WebGate™ IRIS™ "Internet Residential Information System," p. 1 of 1
X	C17	WebGate™ ICIS™ "Internet Commercial Information System," p. 1 of 1

EXAMINER <i>Patricia C.</i>	DATE CONSIDERED <i>1/16/07</i>
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## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
PTD	D1	5,448,229	09/05/95	Lee, Jr.	340/870.02	12/28/92
	D2	5,459,459	10/17/95	Lee, Jr.	340/870.02	11/25/94
	D3	5,477,216	12/19/95	Lee, Jr. et al.	340/870.02	10/30/92
	D4	5,495,239	02/27/96	Ouellette	340/870.02	08/02/94
	D5	5,572,438	11/05/96	Ehlers et al.	364/492	01/05/95
	D6	6,327,541 B1	12/04/01	Pitchford et al.	702/62	06/30/98
	D7	2001/0010032	07/26/01	Ehlers et al.	702/62	02/12/01
	D8	2002/0077729	06/20/02	Anderson	700/291	12/20/00
	D9	2002/0091784	07/11/02	Baker et al.	709/208	12/15/00
	D10	2002/0161536	10/31/02	Suh et al.	702/62	04/13/01

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EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
X	D11	F. Momal, C. Pinto-Pereira, "Using World-Wide-Web for Control Systems" Abstract, AT Division CERN, 1211 Geneva 23, 6 pages.
PTD	D12	P. M. Corcoran, J. Desbonnet and K. Lusted "THPM 14.2 "CEBus Network Access via the World-Wide-Web" Abstract, ©1996 IEEE, pp. 236 & 237.
PTD	D13	ATI Systems, "Technical Overview Ethernet SCAN II™ Module" specification, October 1994, 2 pages.
PTD	D14	J. Hofman, "The Consumer Electronic Bus: An Integrated Multi-Media LAN for the Home", International Journal of Digital and Analog Communication Systems, Vol. 4, 77-86 (1991), ©1991 by John Wiley & Sons, Ltd.
PTD	D15	Lucent Technologies "AT&T Forms Expert Team to Design Utility Industry Solutions, press release, Monday, January 23, 1995, pp. 1&2.
PTD	D16	Newsbytes Inc., Tampa, FLA, April 13, 1995 pNEW04130013 "TECO & IBM - The "Smart House" Is Here" Press release, obtained at <a href="http://filebox.vt.edu/users/mikemike/smart-house/infotrak/article4.txt">http://filebox.vt.edu/users/mikemike/smart-house/infotrak/article4.txt</a> , 7/11/02, pp. 1&2

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